1 Impacts and responses to environmental change in coastal livelihoods of south-west

2 Bangladesh

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4

5 Abstract

6 Aquatic ecosystems are of global importance for maintaining high levels of biodiversity and 7 ecosystem services, and for the number of livelihoods dependent on them. In Bangladesh, coastal 8 and delta communities rely on these systems for a livelihood, and the sustainability of the 9 productivity is seriously threatened by both climate change and unsustainable management. These 10 multiple drivers of change shape the livelihood dependence and adaptation responses, where a 11 better understanding is needed to achieve sustainable management in these systems, while maintaining and improving dependent livelihoods. This need has been addressed in this study in 12 13 the region of Satkhira, in the southwest coast of Bangladesh, where livelihoods are highly 14 dependent on aquatic systems for food supply and income. Traditional wild fish harvest in the 15 rivers and aquaculture systems, including ghers, ponds, and crab points have been changing in 16 terms of the uses and intensity of management, and suffering from climate change impacts as well. 17 By means of six focus groups with 50 participants total, and validated by expert consultations, we 18 conduct an analysis to understand the main perceived impacts from climate and human activities; and the adaptation responses from the aquatic system livelihoods. We find that biodiversity has 19 20 decreased drastically, while farmed species have increased and shrimp *gher* farming turned more 21 intensive becoming the main source of income. All these changes have important implications for 22 food supply in the region and environmental sustainability. Dramatic responses taken in the 23 communities include exit the fisheries and migration, and more adaptive responses include species 24 diversification, crab fattening and working more on the pond and *gher* infrastructure. This study 25 evidences the results of the combination of multiple stressors in productive systems and the 26 barriers to adaptation in aquatic ecosystem dependent communities.

Keywords: adaptation, focus group discussions, gender inequality, Bangladesh, coastal
 ecosystems, aquaculture.

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37 Introduction

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39 South Asian (SA) countries in the Arabian Sea, Bay of Bengal and East of Indian Ocean share 40 similarities in its fisheries environment, socio-economic characteristics and problems (Stobutzki 41 et al., 2006). These countries fall in the region of heavy rainfalls in the monsoon belt. As a result, 42 they are endowed with many rivers, frequent floods and river bank erosion in delta regions. These 43 factors contribute to ecosystems of high biodiversity and natural capital richness (ecosystem 44 services) such as mangroves, wetlands and coral reefs (Tittensor et al., 2010), and also contribute 45 to the vulnerability of people living in these areas (Allison et al., 2009; Barange et al., 2014). 46 47 Bangladesh is a salient example of vulnerable livelihoods dependent on aquatic systems, that are

48 impacted by climate change and where fishing and aquaculture have evolved rapidly in the last 49 decades with important consequences for sustainability (Faruque et al., 2017). The countryis on 50 the top ten national economies most vulnerable to the impacts of climate change on fisheries and 51 aquaculture (Allison et al., 2009). Despite being ranked first among countries vulnerable to climate 52 change (Ahmed et al., 2009), research and development programs might have contributed to 53 reduce its vulnerability rating to the current sixth position (Kreft et al., 2016).

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In Bangladesh, aquatic ecosystems supply fish and other aquatic resources to 160 million Delta inhabitants. The country is ranked fourth for inland fisheries production in Asia, behind China, Myanmar and India (FAO, 2016). Many aspects of the Bangladeshi culture, economy and tradition are rooted on fishing and fish culture activities. Fish is a natural complement to rice in the national diet, giving rise to the adage "*Machhe Bhate Bangali*", literally meaning – 'fish and rice make a Bangladeshi'. Fish contributes about 60% of the total animal protein intake in the diet of the people 61 from Bangladesh (DoF, 2013), while the integrated coastal and freshwater systems contribute to 62 household food security, nutrition and income (Faruque et al., 2017). The fisheries sector provides 63 full-time employment to an estimated 1.2 million fishers and an estimated 10 million households, 64 where as much as 64% are partly dependent on fishing (e.g. part time fishing for family subsistence 65 in flooded areas) (DoF, 2015). Among the employments associated with the fish sector in the 66 country, only 10% are occupied by women (Islam et al., 2016). However, when considering fish 67 processing plants and fish drying centres in the coast, women occupy 80% of the jobs (Hossain et 68 al., 2013a).

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The rich aquatic biodiversity of Bangladesh has been attributed to one of the world's largest wetlands (Bengal Delta) and the aforementioned three large river systems (Bart, 2002). Fisheries are important in the deltaic regions, and contribute nearly to 3.65% to the Gross Domestic Product (GDP), to 23.81% of gross agriculture products and 1.97% to the total export earnings (DoF, 2017). Coastal Bangladesh (47,211 km²) covers 32% of the country and extends over nineteen districts out of a total sixty-four (Shamsuddoha and Chowdhury, 2007).

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Bangladesh is at high risk from climate change and the country economy will face the biggest risk from global warming in the next 30 years (Caesar et al., 2015; Kay et al., 2015; Whitehead et al., 2015). The country is susceptible to a range of climate change impacts, from extreme events like cyclones (GoB, 2008) to slow onset processes like sea level rise (Hossain et al., 2012). In addition to Cyclone Aila in 2009, the southeast coast was hit by other high impact cyclones, including cyclone Bijli in the same year, cyclone Rashmi in 2008, and cyclone Sidr, a category 4 cyclone in also in 2008 causing extensive damage to life and property. Since 1970, the country has experienced thirty-six cyclonic storms resulting on over 450,000 deaths and immeasurable economic losses (UNDP, 2010). Events and processes like cyclone, flooding, riverbank erosion, and salinity intrusion in the coast of the country may intensify and become more recurrent and spatially expanded in the 19 districts situated in the coastal zone of Bangladesh (IUCN, 2012). Sea level rise would exacerbate these effects along the coastal margin by altering erosion rates, causing saline waters to intrude further inland, shrinking protective barriers and increasing flooding by cyclone and storm surges (Ericksen et al., 1993).

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92 In addition to climate change, directly human induced impacts come from aquaculture in the 93 coastal waters. Fish biodiversity and catches are adversely impacted by faulty post-larval shrimp 94 (PL) collection, together with water pollution from the industry and agriculture that are causing high fish seed mortality. There is a huge by-catch¹ associated with intensive fishing for PL shrimp 95 96 to supply shrimp farms in coastal Bangladesh, with hundreds of non-target fish and shellfish 97 removed in this activity (Bhattacharya et al., 1999; Hoq et al., 2001; Toufique, 2002). PL shrimp 98 by catch could be contributing to the decline in finfish populations and diversity noted by Gain et 99 al. (2005). Additionally, the indiscriminate use of insecticides and pesticides in the crop fields by 100 the farmers are one of the major causes to turn many wild fishes once abundant in the rivers and 101 floodplains to be now threatened (Mazid, 2002). In addition, a range of chemicals were found to be used in the shrimp aquaculture² (Shamsuzzaman and Biswas, 2012; Ali et al., 2016), causing 102 103 an alarming decrease in population of local fish and shellfish species (Ali et al., 2014; Hossain and 104 Hasan, 2017).

¹The by-catch consists of seeds of many different cyprinids, eels, anchovies, Bombay duck, marine and coastal catfish, gobies, eel gobies, crabs, snails, mussels, bivalves and many other species. ²Including potassium permanganate, sumithion, melathion, formalin, bleaching powder, malachite green and a number of different antibiotics

In this study, we explore the recent trends in aquatic ecosystems of the coast of Bangladesh by looking at its aquatic diversity, aquaculture practices and productivity, and a number of associated livelihood changes. For this we use focus group discussions and household surveys in the district of Shyamnagar Upazila in Satkhira, southwest coast of Bangladesh. This case study is selected due to the importance of the natural ecosystems of the Satkhira coast in Sundarbans and the risk of climate change impacts and high livelihood dependence on water resources in the area.

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The investigation covers the period of 2002-2012 and includes qualitative and quantitative data from primary and secondary sources. The study provides new evidence on the changes and responses in aquatic ecosystems-dependent livelihoods in Bangladesh.

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117 **2. Methodology**

118 To study the coastal livelihoods and their dependence on aquatic systems, climate change and 119 management practices in Bangladesh we follow a series of methodological steps depicted in Fig. 120 1. First is the selection of the case study area based on preliminary consultations, expert evaluation 121 and literature review. Second is the presentation of the characteristics of the study area (section 122 2.1) and the identification of the main issues for aquatic systems dependent livelihoods. This step 123 involves an in depth literature review and interactions with stakeholders in order to understand the 124 main concerns in the area from an exploratory perspective. Different methodologies including 125 interviews, focus groups and questionnaires were planned following previous studies in the 126 literature and good practices in qualitative social research (Reed, 2008; Young et al., 2018). Based 127 on this evidence, third is the design of a questionnaire to collect information on the main issues

identified in the region (appendix 1). Fourth is the data collection through implementation of the series of focus group discussions, field observation and interviewing key informants in the study area in order to address the objectives of the study (section 2.2). Finally, data analysis allows us to understand perceptions, impacts and responses and a report is shared among stakeholders within the DECCMA project (Hill et al., this issue).

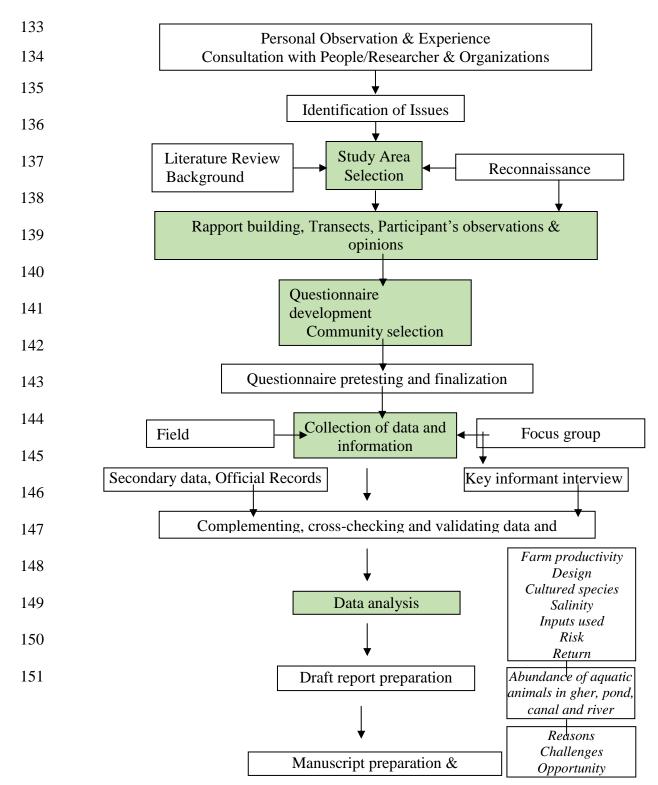
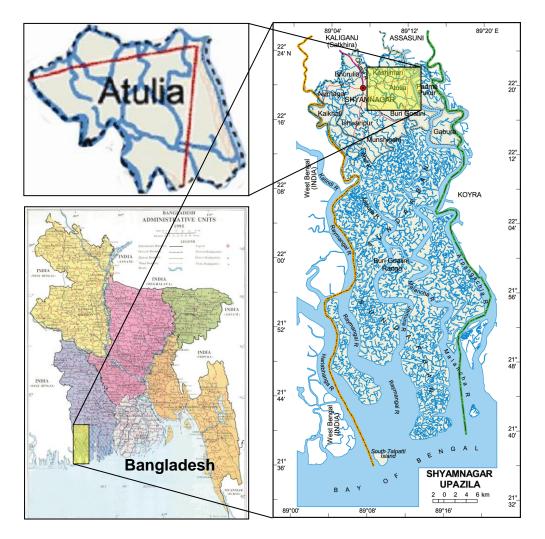


Fig. 1. Methodology of the study leading to this publication. Green boxes represent the main
methodological steps in the process and white boxes represent the specific tasks and processes.

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156 2.1 Study Area

157 Through the past experience of the authors and consultation with researchers, a reconnaissance 158 survey was made to select the study area, study participants, and the key informants and to build 159 rapport with the study participants (Fig. 1). The selected study area is the Union Atulia of 160 Shyamnagar upazila (sub-district) in the Satkhira district (Fig. 2) in south-west Bangladesh. This 161 area has been selected due to its natural ecosystems with high conservation value and the risks and 162 vulnerability of inhabitants to climate change and water resources (Ullah and Rahman, 2014). The 163 area was extensively damaged and devastated by cyclones - Sidr and Aila during 2008-09 (UN, 164 2010). Coastal Bangladesh is particular for its highly irregular deltaic coastline (710 km long), 165 fissured by many rivers and streams flowing into the Bay of Bengal. Formed by a deltaic plain, 166 Bangladesh is virtually the only drainage outlet for a vast complex river basin made up of the 167 Ganges (local name the Padma), the Brahmaputra and, and the Meghna rivers. The study area is 168 located in the floodplains, which in total cover 80% of the country area. The region can be 169 considered a coastal wetland, composed by freshwater marshes, swamps, coastal rivers, estuaries 170 and includes part of the Sundarbans, the world's largest contiguous mangrove forest (Rashid, 171 2000).



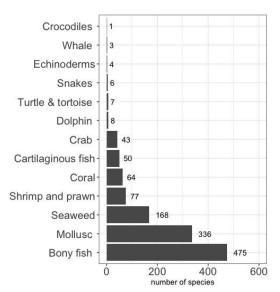
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Fig. 2. Map of the study area – Union Atulia under Upazila (Sub-district) Shyamnagar, Satkhira,
Bangladesh (curtsey- www.banglapedia.org).

Bangladesh waterbodies are known to be the habitat of 293 freshwater fishes, 475 marine fishes,
24 exotic fishes (Hossain, 2010; IUCN Bangladesh. 2015; Hossain et al., 2015) and a number of
other vertebrates and invertebrates (Fig. 3). The natural ecosystems of the Satkhira coast in
Sundarbans have been greatly destroyed in the last years, causing extinction of 21 species of
reptiles and 23 species of fish (Khan, 2014).

182 Satkhira district is the easternmost coastal district in Bangladesh (Fig. 2). The coast of Bangladesh 183 is different from the remaining parts of the country not only because of its unique geo-physical 184 characteristics but also for the different socio-political consequences that often limit people access 185 to endowed resources and perpetuate risk and vulnerabilities. Livelihood conditions of the costal 186 people depend on what resources are available at the household level in terms of ownership and 187 access (Maroof and Jahan, 2010). Direct observations and discussion with the villagers revealed 188 that, in terms of exploited ecosystems, the major aquatic ecosystems available in the four villages 189 of the case study are – small to medium sized *gher* (shrimp/prawn farming pond), small homestead 190 freshwater ponds (water used for drinking, bathing, washing and cleaning and for freshwater fish 191 farming), crab points (man-made saline small ponds – used for crab fattening), the river Kholpetua, 192 the Sundarbans along with natural canals and manmade canals (inlet channel from river to gher), 193 and inundated rice fields.

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195

- 196 **Fig. 3.** Marine fauna and flora diversity of Bangladesh (total=1,242). Source: After Hossain,
- 197 2010; IUCN Bangladesh. 2015; Hossain et al., 2015.

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200 2.2 Focus Groups Discussions (FGD)

201 In order to study the perceived trends on impacts, biodiversity, productivity and livelihood 202 activities over time a series of focus group discussions were organized in the region. The main 203 objective of the FGDs is to identify: 1) the perceptions on the changes in biodiversity in the aquatic 204 production systems in the coast; 2) their productivity and livelihood dependence; 3) the main 205 perceived impacts from climate and human activities; and, 4) the adaptation responses from the 206 aquatic system livelihoods. For this, data on specific quantifiable factors were collected from the 207 participants including productivity, average size of the system, species composition (number of 208 species and abundance under each species), input cost (seed, feed, fertilizer and other chemical), 209 profitability, salinity, erosion, input used, risk and return). The FGDs were complemented with 210 interviews with four key informants (a high school teacher, a female NGO worker, an upazila 211 fisheries officer and a UP member - all four living and working in the area for more than 10 years 212 with thorough knowledge on the community and livelihood patterns) and direct observations from 213 local authors in four villages – Boro Kupot, Chhoto Kupot, Atulia and Boyarshing, within the 214 Union Atulia of Shyamnagar upazila (sub-district)in the Satkhira district (Fig. 2). The draft 215 questionnaire was pre-tested through interviews with the key informants (stakeholder 216 representatives sin the areas) and fishers. The modifications were carried out to overcome the gaps 217 and ambiguities and the questionnaire was finalized. A detailed questionnaire on its final form is 218 available in Appendix 1.

219

The final questionnaire was used to conduct a total of 6 Focus Group Discussions (FGD) with fishers, fish farmers and retired fishers who have thorough knowledge on the changes and trends of production systems, biodiversity and impacts. Each focus group was composed of 8-10 people

223 including men and women as we wanted to have gender representation. Completely different 224 groups of people took part in different FGD sessions. Each session lasted between 2-3 hours. 225 During the FGD, the participants were asked to comment on the perceived changes in the 226 production systems starting from 2002 up to 2012, which is the period that covers the cyclone 227 major events in the area. Specific information was collected from the literature for four different 228 aquatic farming systems: shrimp *ghers*, fish ponds, crab points and the river. The information was 229 collected included productivity, aquatic farm design, species combinations, salinity, use of inputs, 230 risks, return, household dependency and the trend in abundance of aquatic animals.

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232 In the FGDs, participants were asked to make a list of all the aquatic animals and plants available 233 in and around their villages and on the surrounding aquatic systems. The FGD participants also 234 ranked the important fish and shellfish species based on their overall impact on their livelihood, 235 and discussed the adaptation strategies. In addition to FGDs, primary data were also collected 236 through field observations and interviewing the key informants. The key informants also assisted 237 in cross-checking and validating the data and information collected in the FGDs. The data was 238 also validated using official records from Department of Fisheries, FAO, IUCN and other 239 secondary literatures. With all the collected information a qualitative analysis is conducted to 240 understand the main impacts and their consequences for local livelihoods.

241

242 **3. Results**

The main perceptions and information from coastal livelihoods depending on aquatic systems obtained from the FGDs and interviews are presented in this section. First we show the stakeholders' perceptions on the aquatic systems in the study area (section 3.1), including: the perceived biodiversity levels; the livelihood dependence in terms of jobs, species and gears; and the productivity of the different systems. Then we analyse the major changes over time in the aquatic systems and livelihoods (section 3.2). Finally, we present the adaptation responses (section 3.3).

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251 *3.1 Perceptions on the aquatic systems*

252 3.1.1 Biodiversity levels

253 Participants identified a number of species related to each of the four aquatic systems in the coast: 254 shrimp *ghers*, ponds, crab points and rivers. Eighty-four organisms/groups were identified by the 255 participants (Fig. 4), and a large number of species give support to the village livelihoods. Wild 256 aquatic systems have greater levels of species diversity, specially fish, as stakeholders identified 257 (Fig. 4). Wild species identified are amphibian, mollusc, mammals, plant, fish, crustaceans and 258 reptile species, while only fish and crustacean species are farmed (Fig. 4). According to the 259 perceptions of the participants, rivers hold the highest biodiversity followed by ghers (Fig. 4). In 260 the farmed systems, ponds have the highest number of species and these are all fish. The complete 261 list of species identified is available in Appendix 2.

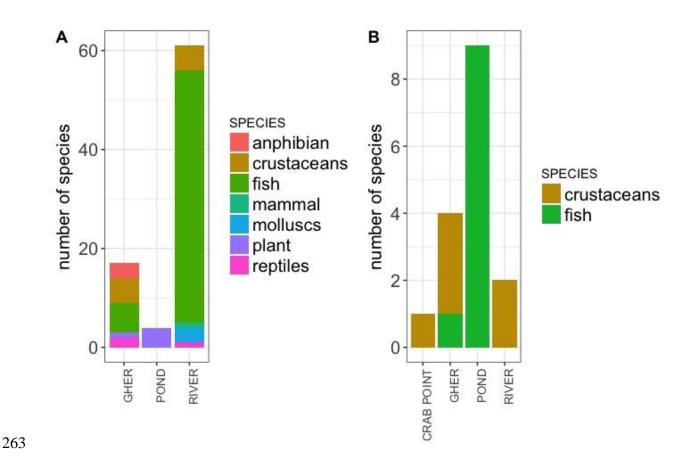


Fig. 4. Diversity of species in aquatic systems: A) wild species; and, B) farmed species. Notescales on y-axis differ.

267 *3.1.2 Livelihood dependence*

268 Table 1 summarizes the aquatic systems in the study area and the main livelihoods associated with

them as resulting from the consultation.

- 270 **Table 1**
- 271 Aquatic systems dependent livelihoods in the study area.

Ecosystem	Main resources	Directly dependent livelihoods	Indirectly dependent livelihoods	Other livelihoods
Sundabarns:	Honey, wood, shrimp/prawn PL,	Collector, PL harvester, Seller	Pirate,	Rickshaw puller,

Mangrove forest and channels	<i>Golpata</i> , cooking fuel		credit provider, NGO worker,	<i>Nasiman</i> , motor cycle rental,
River Kholpetua	Shrimp/prawn PL, fish, shrimp, prawn, mollusc	PL harvester, fisher, nurserer, supplier, boat fish trader	input supplier, coast guard,	shopkeeper, wood collector, fish
Rice field	Agriculture	Farmer, farm labour	housewives	drying
Gher	Shrimp, fish	Soil labor, owner, <i>gher</i> farmer, middlemen, depot holder, Shrimp processor, net/trap maker	-	worker, boatmen, NGO worker, brick kiln worker,
Pond	Fish	Fish farmer, fish seed supplier, fisher, fish retailer, fish wholesaler, net maker, boat maker, fish trader	-	migrant worker, street vendors, doctor, teacher
Crab point	Crabs	Crab fattener, net/trap maker, crab depot owner, transporter, middlemen	-	

More than 60% interviewees are dependent (either part-time or full time) on the Sundarbans mangrove forest for their livelihoods, mainly through the collection of wood, and the harvest of crab, fish and shrimp. We classify the aquatic related livelihoods in two main categories that where identified: shrimp and crab farming, and wild fishing (Table 2). Farming livelihoods are mainly dedicated to *gher* farmer/labour (22%), Post-larvae (PL) collector (27%), crab fattener (19%) and earth labour in *gher* (17%). The wild fishing livelihoods are mainly composed of Fishers (27%), Fish farmers (8%) and Fish retailers (6%) (Table 2).

- 280
- 281 **Table 2**
- 282 Livelihoods of the respondents in the study area.

Fari	ning	Wild fishing		
livelihoods	% of respondents	Livelihoods	% of respondents	
Shrimp gher owner	11	Fish farmers	8	

gher farmer/labour	22	Net makers	2
Post-larvae (PL)collector	27	Fishers	27
Post-larval nurserer	5	Trap makers	2
Post-larvae supplier	7	Fish seed supplier (<i>Patilwala</i>)	4
Earth labour in <i>gher</i>	17		6
Shrimp middlemen (Foira)	2	Fish retailers	
Depot holder	3.5	Fish wholesaler	2
Crab fattener	19	Boat makers	1.5

283 Note: percentages do not sum up to 100% since many individuals have various livelihoods.

285 Every person is often involved in two major livelihoods/professions, e.g., same person works for 286 six months as PL collector and for the rest of the year works as an earth worker. Many of the 287 fishers, if there is not enough fish in the river, work as PL collectors. Livelihoods not directly 288 dependent on aquatic systems but that rely on other directly dependent livelihoods are - Pirate 289 (they swoop down the fishers fishing in Sundarbans, snatch the catch, nets, and other valuables 290 and kidnap fishers for ransom; no statistics but villagers believe that almost every village has 291 pirates in disguise and are known only when they are caught), Credit (Dadon) provider (3 %), 292 NGO worker (Trainer/Credit provider 5 %), Input supplier (to shrimp/fish farm/shop owners) (2 293 %) and Coast guard (only 1% from study villages, mostly outsiders). Non-aquatic system-based 294 livelihoods include Housewives (32 %), Rickshaw puller (12 %), Nasiman (tri-wheeler) driver 295 (9%), Motor cyclist (rental – 3%), Shopkeeper (3%), Wood collector (18%), Brick kiln worker 296 (15%), Agriculture farmer/labour (20%), Migrant worker (outward - 15%), Street vendor (4%), 297 Doctor (Quack - without any proper medical degrees or education also includes people who 298 practice herbal and other alternative medicine like traditional healer or kaviraj – less than 1 %),

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299	School teacher (3 %), Cooking fuel collector/seller (straw, 7 %), Golpata (Nypa fruticans, used
300	popularly as roof building materials) collector/seller (13%) and honey collector (6%).

The key aquatic species for livelihoods ranked by the focus group participants are listed in Table 303 3. The table shows the result of the ranking of each species according to the FGDs results, 304 explaining the reason for ranking that species and the perceived trend in the period assessed. In 305 the first FGD held in the Boidyopara, Boro Kupot, a mix group of four women and six men ranked 306 10 important fishes/shellfishes starting from 1 to 10 (most important to least ones). In the later five 307 FGDs, people were asked to rank the same listed 10 species (the name of the fish revealed) without 308 revealing the ranks made by other groups of FGD participants.

309

310 **Table 3**

- 311 Important fish and shellfish species, ranks and reasons of importance.
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	Rank mentioned in six FGDs		Mean rank	Name	Reason	Trend			
1	1	1	1	1	1	1	Tilapia	Available, cheap, easy access	Increasing
2	2	3	4	2	3	2	Mud Crab	High price, cash crop	Increasing
3	3	2	3	4	2	3	Bagda Chingri	High price, cash crop	Decreasing
4	5	4	2	3	4	4	Harina Chingri	Available, medium price	Increasing
5	4	6	5	5	7	5	Bhetki	High price, cash crop	Stable
6	8	5	7	8	5	6	Parse	Available, eaten, sold	Stable
7	6	9	6	7	6	7	Paira	Less available, eaten, sold	Decreasing
8	7	10	8	6	9	8	Chaka Chingri	Medium price, cash crop	Decreasing
9	10	8	9	9	8	9	Baila	Less available, eaten, sold	Decreasing
10	9	7	10	10	10	10	Bhangon	Less available, eaten, sold	Decreasing

313 314

Tilapia is ranked first in all the FGDs. It is an exotic species introduced in 1954 in the (back then) East Pakistan from Thailand. The villagers said that more than 40% of the fish they consume was tilapia. The fish was cheap (Tk. 30-90/kg based on the size; the bigger the size, the higher the price) and affordable and could be grown even in their semi-saline and saline ponds and *ghers* where no other freshwater fish could be grown. The villagers also used small sized cheap tilapia as crab feed. Mud crab, bagda chingri and harina chingri rank 2^{nd} , 3^{rd} and 4^{th} position, because these species were sold rather than consumed as subsistence stock because of their market value. Bhetki (5th), parse (6th), paira (7th) and chaka chingri (8^h) were used mainly as cash crop but sometimes villagers ate or offered these species to their guests. Baila (9th) and bhangon (10th) were also used for both purposes (market and food) depending on the size. The large sizes of these two species were for sale and smaller sizes for household consumption.

326

327 Respondents gave information about the main fishing gears they use. The main method of shrimp 328 collection in the *gher* is *goi* method: harvesting shrimp in an outlet drain through dewatering the 329 gher. Nearly 60% gher owners use the method and the remaining 40% use the atul method: small 330 bamboo trap placed in the gher. People use many different types of nets to catch fish and crab in 331 the four studied villages. The major gears used by the villagers are khepla jal (cast net), ber jal 332 (seine net), current jal (gill net), net jal (mosquito net), moi jal (pull net), tinkona jal (triangular 333 push net), bakso jal (box net trap), borsi (hook and line), borsa/fala (wounding gear) and by hand. 334 Though banned by the government, shrimp post-larvae collection using both pull net and push net 335 and destruction of hundreds of non-target eggs, spawns and fry of fish, shellfish and molluscs has 336 been going on. Fishing with destructive methods like poisoning or the use of explosives, although 337 reduced, is still in practice inside the Sundarbans. More than 10% of the participants confessed 338 that they used poison or explosive to catch fish several times inside the Sundarbans in the last six 339 months. Nearly 60% of women in four study villages were found to be skilled at throwing cast 340 nets and pushing and pulling other nets alone or in a group with other men and women.

341

342 *3.1.3 Productivity of the aquatic systems*

343 Participants identified the key productivity factors in each of the aquatic systems studied in the

344 area, together with the main impacts and responses. Table 4 summarizes these results and what

- follows is a detailed description of the productivity changes per aquatic system.
- 346
- 347 **Table 4**

348	Results	on the	aquatic	systems	productivity changes.	
				~	P	

System	Productivity factors	Perce	ived Impacts	Livelihood responses
		From Cyclone	From Management	
Shrimp	Size (+)	Silt increase	Intensification	Species diversification
gher	Species richness (+)	Depth decrease	Decrease in gher size	
	Input costs (+)	Unavailability	Shrimp disease	
	Profitability (+)	of prawn	Increase in input costs	
Fish	Water depth (-)	Wild fish	Tilapia increase	Stock valuable fish in
pond	Species composition	destruction	Stocked culture fish	pond
-	(-)	Water depth	decrease	Re-excavate ponds
	Input costs (-)	decrease		Change pond water
	Profitability (-)	Salinity		more frequently
		increase		
Crab	Size (+)	Crab point	Intensification	New comers to crab
point	Input costs (-)	destruction	Feeding small sized	fattening
	Profitability (+)	Decrease	tilapia to crab	Increase crab
		natural catch		consumption
River	Depth (-)	Silt increase	Decrease in fish	Fishers changed their
	Species composition	Salinity	diversification	livelihood strategies to
	(-)	increase	Decrease in catch per	agriculture/shrimp farm
	Salinity (+ -)		unit effort	labour, migrant brick
	River erosion (+)			klin worker or rickshaw puller

349

350 Shrimp gher

351 FGD participants enlisted the following key factors for the *gher* productivity (measured as shrimp

352 kg/unit area): 1) size of the *gher*, 2) species composition (number of species and abundance under

ach species), 3) input cost (seed, feed, fertilizer and other chemicals) and 4) profitability (see

Table 3). The major changes in the shrimp *gher* as identified by the participants were –a) *gher* became heavily silted after Aila cyclone in 2009, the water depth in *gher* decreased substantially (due to high expense, the poor and marginal *gher* owner could not remove the silt); b) unlike in the pre-Aila period, there were hardly any prawn found in the *gher*; c) *gher* size decreased (division of parental property among inheritors seemed the major cause); and d) frequency of shrimp disease increased substantially due to intensifying culture method (very high stocking density) coupled with poor management.

361

362 The productivity of *gher* decreased greatly following the Aila, but since then it has been gradually 363 increasing with an upward trend due to the intensification of the farming system. Species 364 composition was more or less similar over the years with a recent increasing trend, as many gher 365 owners started stocking the so called *sada machh* (white fish), like carps, bhetki (giant perch) or 366 bata (flathead mullet) in their gher to compensate the ever-decreasing profit from shrimp sale. The 367 input cost has been gradually increasing and the increased productivity cannot compensate the 368 increasing cost; particularly farmers were concerned with the fast growing price of good quality 369 shrimp post larvae (PL) feed and other inputs. Many farmers wanted to stock good quality PL and 370 to provide feed in the shrimp *gher*, but could not do so, because of the lack of cash capital. 371 Although gher productivity was showing an increasing trend, profitability was decreasing 372 gradually due to high production cost involved in shrimp farming. The low profitability was also 373 a result of frequent outbreak of diseases and, in many cases, farmers lost all the shrimp in the *gher* 374 within a very short period of time of the disease outbreak.

375

376 Fish pond

The key factors for the pond systems enlisted were productivity (kg/unit area), water depth, species composition (number of species and abundance under each species), input cost (seed, feed, fertilizer etc.), pond water salinity and profitability. The major changes in the freshwater fish ponds were – a) Aila destroyed all the valuable freshwater wild fish species people used to catch for their household consumption and to distribute among the neighbours³; b) tilapia increase: very few during pre-Aila period, and a considerable increase in the culture ponds afterwards; and c) stocked culture fish (Indian major carps, Chinese carps, silver barbs) not growing well.

384

385 The productivity of the pond system went down heavily after Aila, recovering with a slightly rising 386 trend but still much lower than pre-Aila productivity. The water depth in the pond has been 387 gradually decreasing over time. Many villagers re-excavated their ponds, mainly to remove the 388 saline bottom mud. Villagers were very unsure if all the tasty freshwater fishes would live again 389 in the ponds. As in a shrimp *gher*, the input cost in the fish pond was also increasing because of 390 high cost of fry/fingerling and fish feed. Pond salinity reached a peak during Aila and killed almost 391 all freshwater animals and plants. Since then, the salinity has been slowly decreasing and villagers 392 have been trying hard to reduce soil and water salinity by removing bottom mud and frequently 393 replacing the water. It will take many more years to normalize the situation and go back to pre-394 Aila salinity levels. Pond culture was not profitable as the growth rate of cultured fish was very slow, even after fertilizer use and fish feed. Often it was not worth to stock valuable culture fish 395 396 in the pond.

397

398 Crab point

³Like – tengra – *Mystus* sp., magur – *Clarias batrachus*, shing – *Heteropneustes fossilis*, boal – *Wallago attu*, taki – *Channa punctatus*, shol – *Channa striatus*, puti – *Puntius* sp., mola – *Amblypharyngodon mola*, darkina – *Esomus danricus* etc.

The key factors selected for the crab points⁴ included productivity (kg/unit area), average size of the crab point, species composition, input cost (seed, feed) and profitability (Table 3). The major changes in the crab culture system were -a) Aila destroyed substantial number of crab points; b) natural catch of crab decreased substantially; and c) over the last 2-3 years (post Aila), hundreds of people became involved in crab fattening; d) crab consumption by villagers of all religions and castes was showing an increasing trend.

406

407 There was not much crab fattening activities 10 years ago, as this activity started in the pre-Aila 408 period during years 2007 and 2008. Aila destroyed many crab culture points, but the number of 409 crab farmers has multiplied afterwards. Therefore, almost all the factors (productivity, 410 profitability, size of crab point) were found to have an increasing trend. Despite a number of crab 411 species are available in the coast, people are only involved with fattening giant mud crab, Scylla 412 serrata, mainly because of its high demand and price in the overseas markets of China, Hong Kong 413 and Thailand (Ferdoushi and Xiang-guo, 2010). The crab exports has grown many folds over the 414 last 3-4 years, and as the industry heavily depends on wild catch, both natural production and 415 diversity of crabs in the Sundarban mangrove forest have decreased alarmingly.

416

417 River

The key factors for the coastal river fishery included productivity (catch), river depth, species composition (diversity and abundance), salinity and river erosion (Table 3). The major changes in the coastal river were: a) the diversity of the coastal fish drastically decreased and once highly

⁴Crab pond is known as crab point locally.

abundant fish and shellfishes (prawn, shrimp and crab) were not available in the river, mainly due
to anthropogenic activities like overfishing, destructive fishing, faulty harvesting of shrimp/prawn
post larvae, pollution, water withdrawal at the upstream, etc. ; b) catch per unit effort in the river
greatly decreased; and c) river became silted resulting on a very low water depth.

425

426 Silted riverbed, river erosion and the resulting ever-decreasing water depth impacted coastal fish 427 and crustacean diversity, affecting catches, and was of big concern for the locals. Both fulltime 428 and part-time fishers along with all other participants complained about the diminishing catches in 429 the river Kholpetua. River salinity increased during Aila but since then it has been gradually 430 decreasing. The productivity and species composition of the river Kholpetua in the study villages 431 decreased gradually over the years mainly due to destructive practices and overfishing. Many 432 important, popular and common fish and shrimp species once abundantly available in the river 433 throughout the year became rare or disappeared altogether. Even five years ago, it was very easy 434 for a fisherman to catch 2-3 kg fish with a simple gear like *khepla jal, thela jal, tinkona jal* in an 435 hour. Because of the very low fish abundance in the river, nowadays, a fisher could catch even less 436 than 1kg of fish in 6-8hours. Fishers were having problems to maintain their families and many 437 were switching to other livelihood strategies like agriculture/shrimp farm labour, migrant brick 438 klin worker or rickshaw puller, not without serious difficulties.

439

440 *3.2 Major changes in aquatic systems and livelihoods*

The participants in the FGDs identified the major events over the last 10 years that shaped their aquatic systems regarding system design, species composition, use of inputs, and trade off – fish as cash crop and family consumption. There are two main types of livelihood changes: those 444 happening to the productivity of the aquatic systems, and those affecting the wellbeing of 445 dependent livelihoods. Table 5 portrays the key changes affecting the productivity of aquatic 446 systems. According to the participants, cyclone Aila killed almost all plants (cereal crops, 447 vegetables, fruits and timbers), made the land and water salinized and reduced the fertility of soil 448 and water. Even after a number of years after Aila, the villagers were having problems in growing 449 any plants in the soil and farming freshwater fish in the ponds. Therefore, among others, the 2009 450 Aila cyclone was considered almost in every response by the villagers concerning the changes in 451 systems, organisms and practices over the selected period.

- 452
- 453 **Table 5**

454 The major events over the last 10 years affecting aquatic systems productivity.

Factors	2002	2007	2012
Farm design and practice	 Large <i>ghers</i> Proper water depth in <i>ghers</i> and ponds 	 Large <i>ghers</i> Water depth decreased in <i>ghers</i> and ponds due to siltation 	 Smaller and divided <i>gher</i> Water depth further decreased in <i>ghers</i> and ponds due to siltation and Aila
Species abundance	 Rich diversity of freshwater wild fishes in ponds High diversity of coastal fishes in rivers No aquaculture fish in ponds 	 Introduction of tilapia (<i>Tilapia</i> mossambicus) in ponds and ghers Indigenous and exotic carps stocked in ponds, good production River diversity decreased 	 Wild fish disappeared from ponds River diversity decreased further Indigenous and exotic carps stocked in ponds, poor production Introduction of monosex tilapia (<i>Oreochromis niloticus</i>) in ponds and <i>ghers</i> Stocking of coastal white fish - bhetki, bata etc. in <i>gher</i>
Input used	No feed, fertilizer in shrimp <i>gher</i>	 No feed, fertilizer in fish ponds Feeding the shrimps with snail meat, use of yeast 	 No feed, fertilizer in fish ponds Many <i>gher</i> owners try to prepare the <i>gher</i> – removing bottom mud,

		 -molasses mixture, lime and other fertilizer in shrimp <i>gher</i> Chopped tilapia and kuchia for crab 	liming and feeding shrimp with feed pelletChopped tilapia and other low-value fish for crab
Trade off – fish as cash crop and family consumption	 No selling of FW pond fish, mainly used for HH consumption, sharing with and gift to neighbours and relatives Abundant coastal fish and shrimp in rivers, used both for selling and HH consumption 	 No selling of FW pond fish Catch and diversity of fish and shrimp decreased in rivers More selling and less eating 	 No wild fish in ponds, cultured fish mostly for selling, sometimes eating in the HH, no sharing, no gift Almost all the fish are expensive and mostly for selling Occasionally low value and low quality fish for homestead consumption

455 *HH: household; FW: freshwater.

457 The main impacts and changes affecting non aquatic based livelihoods identified by the villagers

458 are the availability of potable water; the shortage of cooking fuel; and the gender biased wage.

Almost all the coastal households have a long way to go to ensure the minimal quantity of drinking water for the family members, that is often the duty of the household females. Some of the families are fortunate enough to have sweet water tube-well, pond-side aquifer tube-well or rain water harvest facilities with the help of different organizations. However, that doesn't ensure all year round the supply of drinking water. Women along with their daughters often travel everyday 1-2 km for 1-2 buckets of drinking water, sometimes twice a day. Waterborne diseases like jaundice and diarrhea are very common among the villagers, especially women and children.

466

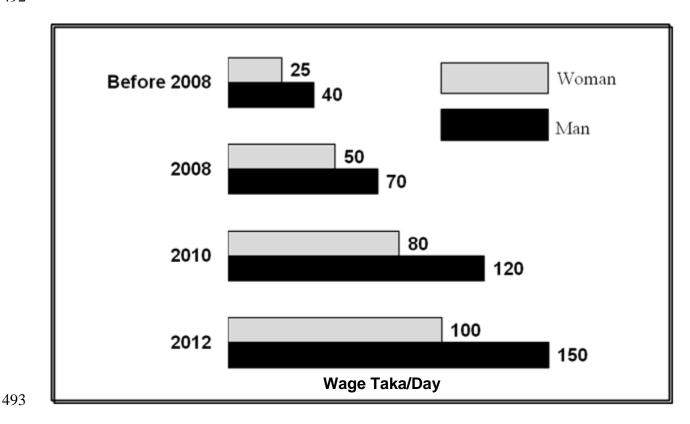
467 Collection of cooking fuel in rural Bangladesh has always been a problem, especially in the coast.
468 The people of Atulia have been suffering from acute shortage of cooking fuel. Almost 90% of the

⁴⁵⁶

households only cook once a day. Yet they suffer continuous agony over managing the fuel for cooking. The men in the households almost ignore this problem and cooking fuel collection is always done by housewives and their daughters. As most of the paddy fields have now been converted to shrimp *gher*, the rice straw the women used to collect for free has now been stopped. Aila killed almost all timber trees and bamboos thickets. People cannot keep domestic animals like cows for the same reason, that could have helped them supplying cooking fuel as dried cow dung is used by villagers in other parts of the country.

476

477 Women and children daily spend 1-2 hour seeking for cooking fuel in and around the villages, 478 travelling 2-3 km. A little better-off households purchase the rice straw after rice harvest from the 479 rich owners at 800 taka (US\$ 10)/bigha (33 decimals≅1335.18 m²), sufficient for 2-3 months for a 480 medium size family. Other poor households cannot afford even that, and buying cooking wood 481 from market is beyond their capacity. According to the FGD participants, women in coastal 482 villages are also involved in some laborious waged work, unlike in most other areas of the country 483 where women generally don't. These laborious work includes earth cutting (soil labour) in the 484 gher and ponds, dyke repairing, collecting shrimp PL, fishing (casting, pushing and pulling nest, 485 and angling), working in the crop fields for transplanting rice, weeding, and harvesting. However, 486 when it comes to the wages particularly for earth work and working in the crop field, women 487 always receive less wage than men for doing exactly the same wok for the same duration of time. 488 Fig. 5 shows the comparison of daily wages among women and men before and during the 2008-489 2012 period. These figures go in line with recent estimates of wage imbalance in Bangladesh, 490 where Kapsos (2008) found that women in Bangladesh earned an average of 21% less per hour 491 than men, raising to a 23.1% gap when considering occupational segregation.



494 Fig. 5. Comparison of daily wages of men and women in Atulia, Shyamnagar, Stakhira (data
495 collected from field visits, interviews with men and women and cross-checked by key
496 stakeholders in the areas).

497

498 *3.3 Local adaptation responses*

499 Tropical cyclones and tidal surges not only kill people but damages vital livelihood assets -houses, 500 boats, fish landing jetties, roads and other physical assets that make the fishers more vulnerable. 501 Cyclone Aila was the most devastating along with a number of other cyclones coupled with 502 anthropogenic factors like overfishing, destructive catching of shrimp/prawn post larvae (PL), and 503 unsustainable shrimp expansion finally led to outward migration as a result of a loss of livelihood 504 opportunities. These also exerted long-term implications on food security as fisheries were greatly 505 affected and freshwater supplies diminished in the wake of increased salinization. In response to 506 the adverse impacts, affected communities adopted a variety of coping strategies (Table 3), some 507 of which, however, led them to be worse off than they were before. For instance, children in some

households were taken out of school so that they could contribute to income generating activities and help to sustain the livelihood of their families, an erosive coping strategy that can cause an intergenerational leaning towards poverty and vulnerability.

511

512 For most coastal fishers, fishing is the only livelihood option they know and are comfortable with, 513 and economic diversification with other alternate income generating activities is not possible. 514 However, finding no way out, many fishers in this area exit the fisheries and start jobs like 515 rickshaw pulling, furniture making, or petty business. Also a few go to work for the garments 516 factories in Dhaka. Kartik to Falgun (October - January) is the most critical time for the fishers 517 and poor fish farmers in the study area. There is the lowest fish catch in coastal rivers and 518 floodplains and virtually there are no activities in the shrimp farms this time and hardship begins. 519 Almost all the fisher families, including poor shrimp farmers and farm workers, have reduced the 520 number and the size of their daily meals, they go after cheaper food, and when possible borrow 521 money from local moneylenders, NGOs and wholesalers with high interest. They also get advance 522 loans (dadon) from the wholesalers and even if the profit is a bit less, later on during fishing season, 523 they are forced to sell their catch to that wholesalers. Most of the fishers can never pay the whole 524 debt to the wholesalers and the cycles go on and on.

525

526 Children particularly the boys of most of the fisher families cannot continue their education, 527 because of shortage of money and involvement in fishing at an early age. Most fishers would avoid 528 hiring other fishers or neighbours as it costs money so they employ their children to help them in 529 fishing. Many coastal fishers are leaving their hereditary profession and moving around every 530 day as 'environmental refugees' from a state of unemployed and poverty to underemployment and

531 grim poverty in large cities. Many shrimp farmers left shrimp farming because of ever increasing 532 price of inputs and mounting value of lease. The food incentive is available in the hilsa districts 533 but as the supply is limited, Government of Bangladesh can provide incentive to selective and very 534 few hils fishers. The incentive in the form of 40 kg rice a month for 4 months (total 160 kg) does 535 not meet the demand of a family of hilsa fisher, let alone most of the fisher families who are not 536 included in the incentive list. Therefore, both groups who receive and who don't, often continue 537 fishing defying fishing regulations and occasionally caught by the police, pay fine/go to jail for a 538 few months and their nets are burned.

539

540 **4. Discussion**

541

542 4.1 Cyclones

543 Bangladesh, due to its geographical location, receives about 40% of the total impacts of global storm surges (Dasgupta et al., 2010). The country is turning more prone to severe cyclones⁵, 544 545 particularly during November and May (Krishna, 2009) since cyclones are strongly influenced by sea surface temperature (Ahmed et al., 2013). In 2009 Cyclone Aila⁶, a 1.2 year return period 546 547 cyclone with an average wind speed of 95 km per hour caused 190 deaths, 7,103 injuries and 548 affected 3.9 million people (Dasgupta et al., 2011). An estimate of 20 million people became 549 vulnerable and at risk of post-disaster peril due to Aila in Bangladesh. A recent report by FAO 550 shows highlights the broad range of impacts these periodic cyclones have in the country (Hussain 551 and Hasan, 2017). Damages to water embankments throughout the coast was estimated at taka

⁵On average 5.48 storms per year or once every 9.49 weeks and if the increasing trend continues the cyclonic frequency may reach 7.94 storms per year or once every 6.54 weeks by 2050 (Chowdhury et al., 2012).

⁶Formed 23 May 2009 and dissipated 26 May, 2009.

one billion (US\$14.4 million) and total damage to assets from Aila was US\$270 million (CRED,
2011). About 200,000 people, including children, became homeless (Sarmin and Naznin, 2012).

The magnitude of this event has been largely reflected in the stakeholder consultations of the current analysis, showing the consequences of Aila for the biodiversity, productivity and livelihoods of the aquatic systems. Aila was described as the main reason behind the least abundance and disappearance of fish, due to salinity intrusion (DRCSC, 2009). As a consequence, the exotic tilapia, totally absent in *gher* and ponds in the past, has now become the top species for livelihoods, with increasing abundance. We discuss in the next section what the adaptation responses to these major impacts are.

562

563 4.2 Adaptive responses

564 The results obtained in this study can also help us understand the available adaptive responses for 565 achieving ecosystem and livelihoods sustainability in aquatic systems. Recent studies assess the 566 adaptive capacity of fisheries management to confront climate change (Allison et al., 2009; 567 Melnychuk et al., 2014; Leith et al., 2014), and identify economic resilience attributes for a given 568 fishery (van Putten et al., 2013), including fisheries sustainable management (Ojea et al., 2017), 569 livelihood diversification options (Leith et al., 2014), the role of cooperation, and addressing equity 570 concerns (Pascual et al., 2014). In this context, the main adaptive responses that have been 571 identified in the case study and in the context of South Asian countries (SA), based on the results 572 and the existing literature, are: decreasing livelihood vulnerability, sustainable fisheries 573 management, livelihood diversification, fisher mobility, planned adaptation, access to markets, 574 spatial management, economic stability, and scientific knowledge.

576 4.2.1 Decreasing livelihood vulnerability

577 Most vulnerable livelihoods in coastal Bangladesh are poor people and women. In the coast, 578 gender imbalance, salinization of cropland, decreased access to cooking fuels and drinking water 579 are the major livelihood problems contributing to vulnerability. These issues were raised in the 580 focus groups and suppose important barriers to sustainable livelihoods and adaptation in the area. 581 In addition to almost all the household works – washing, cleaning, cooking, looking after the kids 582 and elderly and colleting cooking fuel and drinking water, women in coastal villages also work as 583 hired labour, like cutting earth, deweeding in the crop fields and working in the shrimp *gher*. 584 However, when it comes to the wages, men receive almost 50% more. According to CCC (2009), 585 if a woman wants to sell labour to earn money, she is given a lower wage than any man in the 586 neighbourhood. Nonetheless, scholars have found that women in the coast are often degraded, 587 deprived and discriminated by contemporary values and attitudes, norms and traditions, rules and 588 regulations that together characterize a state of patriarchy despite recent development success 589 (White, 2016). Finding no alternate and with the lack of support from men, women have no voice 590 to break through the long run discrimination.

591

The decline of rice production and livestock resources directly contribute to the shortage of cooking fuel as the rice straw and dried dung of livestock used to be the two most common fuels in rural households in Bangladesh and severely harm the poor families in the shrimp farming areas of coastal Bangladesh (Paul and Vogl, 2013). The extent and range of salinity in groundwater has long been increasing, in part because of continued expansion of shrimp cultivation in to fresh agricultural land (Rahman et al., 2013; Hossain et al., 2013b). People rely on pond water or rainwater in the monsoon season for drinking (Hagler et al., 2009). Broadly, there is therefore an overall difficulty in gaining sufficient drinking water, what makes households very vulnerable to impacts in aquatic systems and exacerbates poverty. As the analysis conducted in the present study reveals, it is crucial to bridge the gender and poverty gaps in order to adapt vulnerable livelihoods to the more severe impacts seen in aquatic systems.

- 603
- 604 4.2.2 Sustainable fisheries management

605 Fisheries sustainable management has been estimated to have the potential to increase global 606 fisheries production by 10% (Cheung et al., 2017), however, the expected benefits at the local 607 scale are less known and can be in the form of bigger and higher value fish increase (Coll et al., 608 2013; Bundy et al., 2017). Despite suggestions that adaptation is limited to altering catch size and 609 effort (Easterling et al., 2007) there are in fact many options available, many of which benefit or 610 provide an advantage to small-scale fishers and fish-farmers. These include direct adaptations to 611 specific changes as well as actions that increase the resilience and adaptive capacity of 612 communities and ecosystems, particularly by reducing other stresses such as social (poverty, 613 inequality) and environmental (over-fishing, habitat destruction, pollution), which can 614 significantly increase vulnerability of communities and ecosystems to the impacts of climate 615 change (Cheung et al., 2009). Many fishing communities are dependent on stocks that exhibit 616 regular fluctuations and so have already developed considerable coping capacity (Easterling et al., 617 2007). Development agencies should direct efforts to documenting and understanding existing 618 adaptation mechanisms and, where these prove successful, supporting and strengthening them and 619 applying them elsewhere. However, although traditional management systems may support 620 sustainable livelihoods, they may also reinforce the social positions of those who oversee them, at

the expense of less privileged members of the community (Neiland et al., 2005) and thus may notmeet the requirements of equitable development.

623

624 As we have seen in the case study region, barriers to sustainable fisheries management in southwest 625 Bangladesh include the decrease in productivity due to climate change and the livelihood needs 626 for accessible food supply and markets. In rivers, villagers distinctively reported that the fish catch 627 had been reduced in more than half, despite increased effort (e.g. time at sea). The Hilsa shad, 628 Tenualosa ilisha (Hamilton, 1822) stocks are susceptible due to prevailing jatka (Juvenile hilsa) 629 catching. The Government of Bangladesh imposed ban in 2000 for shrimp/prawn PL collection, 630 however, it has never been implemented firmly because of the lack of alternative livelihoods for 631 coastal livelihoods (about 500,000 people are involved in shrimp/prawn PL collection). The 632 government also imposes nation-wide ban on the catching, possession, transport and trading of 633 juvenile Hilsa (up to 23.0 cm size) between 01 November and 31 May. In addition, catching, 634 transporting, marketing and stock-piling of Hilsa of all sizes are banned in the major spawning 635 grounds (an estimated area of 6,882 km²) during last fortnight of September for 11 days, 5 days 636 either side of a full moon. Overall coastal fishery dwindles due to the use of illegal and destructive 637 gear, defying the ban period by the fishers and catching of undersized fishes. The incentive 638 provided by the government during ban period is not sufficient. In terms of fisheries, 639 intensification of shrimp farming has brought collapses due to diseases dispersal (Hossain et al., 640 2013b. Marine fish catch has also been reduced due to overfishing, in particular in species of high 641 commercial value. Protection of coastal biodiversity has been detrimentally affected by myopic 642 government focus on economic development ignoring ecosystem and species biodiversity of the 643 coast (Siddiquee et al., 2009, Sovacool, 2018). The CPUE (catch per unit effort) has been reduced

644 to more than half comparatively of a few decades ago (Barua, 2013). In addition, several socio-645 economic and climate scenarios developed in ESPA Deltas project forecast a decrease of Hilsa 646 biomass due to environmental changes (Fernandes et al., 2016). However, these projections from 647 a fisheries model shows that sustainable fishing would mitigate those effects. What we are seeing 648 is that following a very drastic fish biodiversity decrease in wild rivers and mangroves, livelihoods 649 are only left with the choice of shifting to another aquatic livelihood based on farmed species (crab 650 point), or even completely shifting livelihoods by exiting the fisheries and migrating to cities. 651 Biodiversity conservation should be prioritized in order to reverse this trend and make the aquatic 652 systems more sustainable and resilient in the longer term.

653

654 4.2.3 Livelihood diversification

655 Livelihood diversification, for example increasing the number of fishing gears has been seen as a 656 way to empower communities (ILO, 2015; Shaffril et al., 2017). However, it must be considered 657 that fishers are strongly attached to their work and diversifying has associated costs (Shaffril et al., 658 2017). Nevertheless, the promotion of non-fishing, non-environment-related income-producing 659 activities should be intensified among small-scale fishers, which in turn should reduce their 660 dependency on the sea and diversify their income (Shaffril et al., 2017). Examples of such 661 mechanisms include diversification of livelihood systems, such as switching between farming and 662 fishing in response to seasonal and inter-annual variation in fish availability, providing 663 opportunities with training and alternative income generating activities. In Myanmar, training 664 efforts lead to 40 fishery training courses in aquaculture, fisheries management, English, computer 665 literacy, and market access requirements during 2013-2014 (ILO, 2015). In India, cultivating 666 aquatic algae is an alternative livelihood which has positive response to climate change for food

667 and pharmaceutical purposes and for production of biodiesel (Vivekanandan, 2011). On most 668 industrialized continents such as Europe, North America, and Australia, recreational fisheries 669 represent the primary fisheries sector in inland waters (Christensen et al., 2007). Recreational 670 fisheries provide substantial additional value because they can also boost other tourism industries 671 (Cooke et al., 2016; Paukert et al., 2017). Even in emerging economies, inland recreational 672 fisheries are expanding due to angling tourism and increasing domestic participation (e.g., India: 673 Gupta et al., 2015). However, the lack of scientific knowledge on the basic biology of sport fish 674 species, together with the absence of region- or species-specific angling regulations for 675 recreational fisheries can lead to target threatened species. Moreover, governance structures are 676 disorganized, with multiple agencies assuming some responsibility for recreational fishing but 677 none tasked explicitly with its sustainable development and management (Gupta et al., 2015).

678

679 As families' financial dependence on small-scale fishers is very high (Shaffril et al., 2013), it 680 would be advantageous to offer such alternative skills not only to small-scale fishers, but also to 681 their families. Many women are unable to diversify livelihoods because of lack of credit support 682 from government organization with is a common problem in south east countries (Shaffril et al., 683 2013; ILO, 2015; Jahan et al., 2017). Homestead gardening, petty businesses, poultry farming, 684 livestock rearing and fish farming in cages by the women with the low interest institutional credit 685 support could supplement the family income and increase security for coping with impacts such 686 as the ones identified in this case study area. One example of a raising activity in the study area is 687 crab fattening. As observed in the focus groups, is potentially profitable and a feasible fishery 688 venture in and around the Satkhira coast. The mud crab, *Scylla serrata* is widely distributed in the Pacific and Indian oceans including Bangladesh coast. There is an unmet demand for mud crabs 689

690 in overseas markets (Begum et al., 2009). Production from crab fattening is quite healthy (nearly 691 half of the total harvest) and scaling up rapidly in the coastal areas. A number of factors hinder 692 the sustainable development of the crab sector and the key one is the sustainable supply of crab 693 seed. Wild seed collection is becoming risky for environment and the natural stock has already 694 been suffering overexploitation. Although there are long established crab hatcheries in the 695 neighbouring countries like Thailand, Malaysia and Vietnam to date no initiative either from 696 government or from private sector is taken to establish crab hatchery in Bangladesh. If crab seed production systems are not established in near future, the whole fattening industry as well as the 697 698 natural crab population will be in jeopardy. Provision of hatchery produced crab seed, proper 699 training in fattening and farming, transport, facilities of formal credit, good and stable markets and increased demand in domestic market can assist the sector. 700

701

702 4.2.4 Fisher mobility

703 Deltas and low-elevation coastal zones are known for significant urbanisation trends and land use 704 change (Meyer et al., 2016) and associated high movement of people, mainly due to economic 705 reasons (Foresight, 2011) but also climate change. A 1 m sea level rise could make an additional 706 15 million people landless who will act as 'climate refugees' in Bangladesh (Hossain, 2008). 707 Migration is a socially embedded process, which is mostly perceived for bringing low adaptive 708 capacity to the individuals or the communities coping with stressful changes in the environment 709 (Adger, 1999; Brooks et al., 2005). Exiting the fisheries can have negative impacts in the fishing 710 communities, and remaining in the fisheries under heavy impacts can also set a poverty trap 711 (Cinner et al., 2009). However, considering the broader perspective of migration, sometimes they 712 can enhance the adaptive capacity of a community to cope with climate change (Barnett and

Webber, 2009). For example, seasonal migration to locations where fish are available are common
between fishers in the case study area and has an important role in sustaining livelihoods in the
face of external impacts.

716

717 4.2.5. Spatial management

718 The establishment of marine protected areas is an effective tool for conserving fish stocks, 719 protecting biodiversity and increasing fish production (Lubchenco et al., 2003). Protected areas 720 have been defended as a solution to fisheries collapse, due to their potential positive spill over 721 effects for adjacent fisheries (White et al., 2008; Costello and Polasky, 2008; Lester et al., 2009). 722 Series of marine reserves or reserve networks have been proposed as a logical response to shifting 723 species ranges due to climate change (Hannah, 2008; Jones et al., 2013). Bangladesh has 724 established more than 500 fish sanctuaries throughout the country (FRSS, 2017) including the 725 Saint Martin Island, the Sundarbans (mangrove forest) and a marine reserve (covering 698 km²) 726 in the Bay of Bengal to protect and preserve the breeding grounds of marine flora and fauna. 727 However, good practices and enforcement are needed in order to make these reserves successful. 728 As observed from the present analysis, illegal fishing practices are still common in protected areas. 729

Ecological restoration is widely practiced as a means of rehabilitating ecosystems and habitats that have been degraded or impaired through human use or other causes such as climate change (Timpane-Padgham et al., 2017). Evidence of increased biodiversity and improved ecosystem function following restoration demonstrates that restoration can be successful in rehabilitating the condition of ecosystems due to certain ecological attributes, such as diversity and connectivity, are more commonly considered to confer resilience because they apply to a wide variety of species and ecosystems (Timpane-Padgham et al., 2017). Marine Spatial Planning is a public process of analysing and allocating the spatial and temporal distribution of human activities in marine areas to achieve ecological, economic and social objectives (Ehler and Douvere, 2009). Novel approaches can use ecosystem models to consider socio-economic activities as well as climate scenarios in areas with sparse biological data using methodologies that have been tested in rich data areas (Queirós et al., 2016). These tools are needed to promote ecosystem based management and revert, as much as possible, the biodiversity decreasing trends observed in the present analysis.

743

744 4.2.6 Planned Adaptation

745 An adaptation plan must be in line with the community's needs, abilities and interests by including 746 the community, which will empower them by enabling them to posit their own ideas and take 747 action (Grafton, 2010; Shaffril et al., 2017). Fisher's sense of belonging to their place of residence 748 has been identified as leading to strong cooperative behaviour and social reciprocity in Malaysia 749 and Pakistan, therefore, strengthening social relationships can be an important adaptation measure 750 (Salik et al, 2015; Shaffril et al., 2017). All the SA countries are making efforts to develop 751 community-based fisheries management. An important element is the fishers associations such as 752 Fish Farmers Development Agencies in India (DAHDF, 2014) or Myanmar Fisheries Federation 753 (ILO, 2015). In Bangladesh, understanding threats and livelihood dependence in time is crucial for 754 the design of adaptation planning and the present study contributes in that respect.

755

756 **5. Conclusions**

Ecosystems in Bangladesh are home to more than a thousand marine organisms including finfish,shellfish and other organisms which support the livelihoods of coastal people. The country,

however, is experiencing serious biodiversity losses in recent years, which threatens the services provided by these ecosystems. We investigated the perceptions on the recent trends of aquaticbased animal diversity using focus group discussions covering the period 2002-2012 in four villages of Shyamnagar Upazila (Sub-district) under Satkhira District at the west coast of Bangladesh. Furthermore, we analyse the main impacts in these communities, including cyclone Aila in 2009, and how the livelihoods have adjusted to them.

765

766 We find that livelihoods strongly depend on ecosystem production services that at the same time 767 are tight with uncertainty and variability. Their livelihoods are adapted to this by diversifying the 768 sources of goods and income through the four main aquatic systems (shrimp *ghers*, fish ponds, the 769 river and crab points). However, these livelihoods are threatened by anthropogenic activities and 770 unsustainable practices such us destructive gears, faulty shrimp post-larval collection with high 771 seed mortality and water pollution by industry and agrochemicals. In addition, cyclones such as 772 Aila have devastating effects on all the main livelihoods. Aila in 2009 destroyed wild and cultured 773 freshwater fish stocks and reduced diversity of coastal and freshwater fish and shellfish species in 774 rivers and farming systems of fish, shrimp and crab due to increased salinity. To cope with the 775 changed scenario, people brought modification in farm design and farming practice, the inputs 776 used and trading off between selling fish for cash and household consumption. One of these 777 adaptations was the use of invasive and low value tilapia to feed crabs in ponds as well as for 778 household food supply. Hydrological changes in coastal ecosystems also impacted other livelihood 779 needs like availability and accessibility of potable water, and cooking fuel. The effects of 780 destructive fishing and pollution also pose threat.

782 We conclude that changes in diversity are impacting mostly the fish species of high economic 783 value and increasing the availability of the low value invasive species tilapia. Overfishing, 784 destructive fishing and shrimp post larvae collection are creating hardship for the coastal 785 community. These problems have greatly accelerated due to the impact of the cyclone Aila, that 786 disturbed many of the production systems. Nonetheless, despite the decrease of productivity of 787 fish ponds and rivers, there has been an increase of productivity of gher and crab points. However, 788 it is unlikely that the systems can sustain over time due to dependence of the people on natural 789 resources that seem to be highly overexploited. A multidisciplinary approach is needed for 790 improving the adaptability of coastal communities depending on aquatic ecosystem services such 791 as alternative livelihoods that don't depend only on the production, but that community-based 792 approaches, training and education, and economic measures to guarantee minimum wages to men 793 and women, access to credit and insurance. We observed how potential adaptation measures such 794 as livelihood diversification are in practice not feasible due to the cultural and economic 795 attachment to the activities undergone. Finally, we conclude that sustainable aquatic livelihoods 796 are only possible to reach if different players agree on the need for investing in alternative 797 livelihoods, reducing poverty and gender gaps, and fostering biodiversity conservation.

798

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1045							<u>Appendix 1</u>
1046				-	estionnaire		
1047	1. Name	2:					
1048	2 Adda	ann Villa	aa/Maura		Union		
1049 1050	Z. Adaro	ess: villa	ge/ Mauza		Union		
1050	Unazila			Distri	ct		
1051	Οραζιία.			, <i>Distri</i>	<i>ci</i>		
1053	3. Sex: 1	Male = 1.	Female=2				
1054		,					
1055							
1056	4. Age (Year):					
1057							
1058			ualification:				
1059			0		imary level=3, Seco	•	
1060	Higher S	Secondary	v Level=5, Gradu	ation & abo	ve=6, Others =7(sp	ecify)	
1061 1062	6 0000	ation. M	ain	Saaa	ndary/Substitute		
1062	0. Occup	Dation: M	<i>ain</i>	Seco	naary/substitute		
1065	7 Marit	al Status:					
1065			arried=2. Separa	ted/Divorce	d=3, Widow/Widow	er	
1066		, .	, I		·····, ······		
1067	8. Fishe	eries Asse	ts:				
1068		Туре		Quantity	(nos)		
1069		Nets		Quantity	(100.)		
		Traps					
		Hook a	nd line				
		Fishing	gweapons				
		Boats					
		Others					
1070				•			
1071	9. House	ehold Ass	ets:				
			Туре		Quantity(nos.)		
			Rickshaw/van				
			Mechanized thr	ee wheeler			
			Bicycle				
			Motorcycle				
			Radio				
			Fridge				
			Sewing Machin	ie			

1073 *10. Household Food security and Consumption status:* Can ensure food supply for total

Others

1074 ...months/year

Rice	
Fish	
Meat	
Eggs	
Vegetables	

1076 11. Annual Household Income from fish:

Source of Income	Income(Taka/Year)
Fishing	
Fish/shellfish farming	
Fish/shellfish trading	
Others	

12. Did the productivity of aquatic animals (kg/dec, acre or ha) increased/ decreased compared to 10 years back.

Farming	Increased (%)	Decreased (%)	Same
system			
Gher			
Fish pond			
Crab point			
Other			

1081 13. What are the changes in farm design over last 10 years?

Farming system	Area Increased/ Decreased (%)	Depth Increased/ Decreased (%)	Height and width of dyke
Gher			
Fish pond			
Crab point			
Other			

13a. What are other changes (like - intermediate nursing, water supply, mechanization etc.): 1084

1086 14. What are the changes in species composition?

Farming system	Added	Dropped	Reason
Gher			
Fish pond			
Crab point			
Other			

1088 15. What are the changes in inputs use?

Farming system	Seed	Feed	Fertilizer/chemical
Gher			

Fish pond		
Crab point		
Other		

1090 16. What are the changes in profitability?

Farming	Increased	Decreased	Same
system			
Gher			
Fish pond			
Crab point			
Other			

^{1092 17.} Who/what are the driving forces behind the changes?

Actors	Score (1-10)
PL Collector	
<i>Gher</i> farmer	
Pond farmer	
Crab fattener	
Input supplier	
Input manufacturer	
Depot holder	
Middlemen	
Processor	
Large wholesaler	
Exporter	
Consumer	
Labour	
Climate	
Global market	
Govt. policy	
Technical expertise	
Finance	
Transport &	
Communication	
Others	

18a. Make a list of fish/shellfishes/molluscs available in different production systems in your

area.

18b. Name 10 fishes/shellfishes/molluscs with increased abundance (%) and name 10

fishes/shellfishes/molluscs with decreased abundance (%) in river, gher, pond and canals over last 10 years.

Increased (%)	Decreased (%)	Same

1101 19. Name of fish/shellfish/mollusk not found in river over time

Local name	Approx. how long they have not been found		

- 1102 20. Make a list of all the gears (traps, nets, wounding gears, hooks and lines etc) used to catch 1103 fish/shellfish/molluscs in this area.
- 1104
- 1105 21. Name three major threats/risks/barriers towards the following systems in your area.

v	J 0 J J	
System	Threats/risks/barriers	
River		
Canal		
Pond		
Gher		
Crab point		

1106

1107 22. What are your three suggestions to mitigate /avert the threats/risks?

System	Suggestions to mitigate /avert
River	
Canal	
Pond	
Gher	
Crab point	

1108

- 1109 23. What are the livelihood opportunities available here that you think you would like to be 1110 involved other than fishing and fish culture?
- 1111
- 1112

1114

1113 Name & Signature of the Interviewer:

Date:

1115 **Questionnaire Code:**

1118	Table A1. The aquati	c animals and plant	s available in and arou	und the four study villages as
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enlisted by the FGD participants. 1119

Group	Local names	Common English Name	Scientific name	Habitat/ ecosystem
Wild Fish	Amadi	Pointed Tail Anchovy	Colia dussumieri	River
(50)	Baila	Tank goby	Glossogobius giuris	River, Shrimp gher
	Bamosh	Yellow Pike Conger	Congresox talabon	River
	Bashpata	Doublelined	Paraplagusia bilineata	River
	-	Tonguesole		
	Bhangon	Flathead mullet	Mugil cephalus	River, Shrimp gher
	Bhetki	Giant Perch	Lates calcarifer	River
	Bhola	Cuja Bola	Macrospinosa cuja	River
	Bishtara, Paira	Spotted Scat	Scatophagus argus	River
	Chandona	Toli Shad	Tenualosa toli	River
	ChataBaila	Bartail Flathead	Platycephalus indicus	River
	Chiring	Mudskipper	Apocryptes bato	River
	Datina	Silver grunt	Pomadasys argyreus	River
	Ekthuita	Gangetic Halfbeak	Dermogenys	River
		C	brachynotopterus	
	Gangoina	Grunting Toadfish	Allenbatrachus grunniens	River
	Ghagla	Engraved Catfish	Arius gagora	River
	Gule	Goggle-eyed Goby	Boleophthalmus boddarti	River
	Hangor	Spadenose shark	Scoliodon laticaudus	River
	Ilish	Indian River Shad	Tenualosa ilisha	River
	Jaba	Spindle Croaker	Johnius elongates	River
	Kain	Gray Eel Catfish	Plotosus canius	River
	Kakila	Asian Needlefish	Xenentodon cancila	
	KaloBaila			River, Shrimp <i>ghei</i> River
		Black-spotted Gudgeon	Butis melanostigma	
	Kankshol	Crocodile Needlefish	Tylosurus crocodiles	River
	Katapata	Gagora Catfish	Nemapteryx nenga	River
	Kawtak	Congaturi Halfbeak	Hyporhamphus limbatus	River
	Khoira	Indian Ilisha	Ilisha melastoma	River
	Khorol	Corsula Mullet	Rhinomugil corsula	River, Shrimp ghe
	Koipunti	Chacunda Gizzard Shad	Anodontostoma chacunda	River
	Kuicha	Mud Eel	Monopterus cuchia	River, Shrimp gher
	Lakhua	Indian Tasselfish	Leptomelanosoma indicum	River
	Loita	Bombay Duck	Harpadon nehereus	River
	Luicha	Largescale Archerfish	Toxotes chatareus	River
	Mad	Beardless Sea Catfish	Batrachocephalus mino	River
	Maita	Eastern Little Tuna	Euthynnus affinis	River
	NunaTengra	Long Whiskers	Mystus gulio	River
	-	Catfish		
	Pangas	Yellowtail Catfish	Pangasius pangasius	River
	Pankhaki	Saddlegrunt	Pomadasys maculates	River
	Parse	Goldspot mullet	Liza persia	River
	Phaisa	Oblique-jaw thryssa	Thryssa purava	River
	Poa	Sharpnose Croaker	Johnius borneensis	River

	Ram Phasa	Hamilton's Thruss	Thryssa hamiltonii	River
	Ramkarati	Hamilton's Thryssa Ramcarat Anchovy	Colia ramcarati	River
	Rekha	Fourband triple tail	Datnioides polota	River
	ShaplaPata	Bleeker'swhipray	Himantura bleekeri	River
	Taila	Four Finger Threadfin	Eleutheronema tetradactylum	River
	TakChnada	Greater Ponyfish	Leiognathus equulus	River
		Milkspotted Puffer	Chelonodon patoca	River
	Tapa Thuita		1	River
		longjawed garfish Paradise Threadfin	Rhynchorhamphus georgii Polynemus paradiseus	River
	Topshe		· ·	
T	TulBaila	Northern whiting	Sillago sihama	River
Farmed	African Magur	African catfish	Clarias gariepinus	Fish pond
Fish (10)	Catla	Catla	Catla catla	Fish pond
	Carpii	Common Carp	Cyprinus carpio	Fish pond
	Mrigal	Mrigal	Cirrhinus mrigala	Fish pond
	Rui	Rohu	Labeo rohita	Fish pond
	Silver Carp	Silver Carp	Hypophthalmichthys molitrix	Fish pond
	Thai Pangas	Iridescent Shark	Pangasianodon hypophthalmus	
	Thai Sarpunti	Silver barb	Barbonymus gonionotus	Fish pond
	Tilapia/Monos	Nile tilapia	Oreochromis niloticus	Shrimp gher, Fish
	ex			pond
	PonaMachh	-	Carp fingerlings	Fish pond
Wild	Chaka Chingri	Indian white shrimp	Penaeus indicus	River, Shrimp gher
Crustaceans	ChaliChingri	Yellow shrimp	Metapenaeus brevicornis	River, Shrimp gher
(6)	ChhitKankra	Crucifix crab	Charybdis feriata	River, Shrimp gher
	HarinaChingri	Speckled shrimp	Metapenaeus monoceros	River, Shrimp gher
	PatiKankra	Ridged Swimming	Charybdis natator	River, Shrimp gher
		Crab	2	/ 10
	RashmiChingri	Kuruma prawn	Penaeus japonicas	River, Shrimp gher
Farmed	Kara	Mud Crab	Scylla serrata	Crab point, Shrimp
Crustaceans				gher
(3)	GoldaChingri	Freshwater giant	Macrobrachium rosenbergii	Shrimp gher, River
	BagdaChingri	prawn Tiger shrimp	Penaeus monodon	Shrimp gher, River
Mollusc (3)	Jomra	Coastal Snail	Different types	River, Shrimp <i>gher</i>
Wonuse (3)	Jhinuk	Green Mussel	Perna sp.	River, Shrimp gher
	Koshtura		Crassostrea sp.	River, Shrimp gher
Manual (1)		Oyster		<u> </u>
Mammal (1)	Shush	Irrawaddy dolphin	Orcaella brevirostris	River
Amphibians (2)	Kuno Bang	Asian Toad	Duttaphrynus melanostictus	Shrimp <i>gher</i> , Fish
(3)	a			pond
	Sobje bang	Green Frog	Euphlyctis hexadactylus	Shrimp gher, Fish
				pond
	Katkati Bang	Indian bull frog	Hoplobatrachus tigerinus	Shrimp gher, Fish
				pond
Reptile (3)	Bhora Sap	Striped Keelback	Amphiesma stolata	Shrimp gher, Fish
		snake		pond
	Kumir	Saltwater crocodile	Crocodylus porosus	River
	Guia Sap	Bengal Monitor lizard	Varanus bengalensis	Shrimp gher, Fish
				pond
Plants (5)	Kalmi	Water spinach	Ipomea aquatica	Fish pond
	Helencha	Harkuch	Ênhydra fluctuans	Fish pond
	Kachuri Pana	Water Hyacinth	Eichhornia crassipes	Fish pond
	Samudra	Sea weeds	Different types	Shrimp gher
	Sheola		• •	. ~
	Idurkani Pana	Watermeal, duckweed	<i>Wolffia</i> sp., <i>Lemna</i> sp.	Fish pond
		,	· · · · · · · · · · · · · · · · · · ·	1